

UNITED STATES PATENT AND TRADEMARK OFFICE
DOCUMENT CLASSIFICATION BARCODE SHEET



Transmittal

1

[] Duplicate
(check, if applicable)

Attorney Docket No. 8861-391US (P23442-01)
First Named Inventor: Toshiharu KOSHINO
Express Mail Label No. EL631608755US
Total Pages of Transmittal Form: 2

DATA RECORDING APPARATUS AND DATA RECORDING METHOD

an [X] Original; or

a ☐ Continuation, ☐ Divisional, or ☐ Continuation-in-part (CIP)
of prior Application No. filed.

Anticipated Group/Art Unit: or Class, Subclass.

[] This non-provisional patent application is based on Provisional Patent Application No. , filed .

Enclosed are:

- ☒ Specification (including Abstract) and claims: 40 pages.
- ☐ Application Data Sheet.
- ☒ Newly unexecuted Declaration (original).
- ☐ Copy of Declaration from prior application.
- ☐ Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).
- ☒ 10 sheets of drawings (formal) plus one copy.
- ☐ Microfiche computer program (Appendix).
- ☐ Nucleotide and/or Amino Acid Sequence Submission, including:
☐ Computer readable copy ☐ Paper Copy ☐ Verified Statement.
- ☐ Under PTO-1595 Cover Sheet, an assignment of the invention
- ☒ Name of Assignee: Matsushita Electric Industrial Co., Ltd.
- ☒ Certified copy(ies) of Japanese Application No(s). Hei 11-364633 filed December 22, 1999 is filed:
☒ herewith or ☐ in prior application .
- ☐ Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under 37 C.F.R. §1.27 as ☐ an Independent Inventor, or ☐ a Small Business Concern, or ☐ a Non-Profit Organization.
- ☒ Preliminary Amendment.
- ☒ Information Disclosure Statement, PTO-1449, and cited references.
- ☐ Request for Nonpublication of Application Under 35 U.S.C. §122(b)

☐ Other:

The filing fee is calculated as follows:

			SMALL ENTITY			LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE:			BASIC FEE:	
			\$355			\$710	
Total	15-20 =	0	X9	\$	OR	X18	\$
Independent	2- 3=	0	X40	\$	OR	X80	\$
<input type="checkbox"/> Multiple Dependent Claims Present			\$135	\$	OR	\$270	\$
			TOTAL	\$	OR	TOTAL	\$710

- ☐ The Commissioner is not authorized to charge the filing fee at this time as we elect to defer payment of the entire filing fee until receipt of a Notice to File Missing Parts.
- ☒ A check in the amount of \$710.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and/or credit **Deposit Account No. 50-1017 (Billing No. 208861.0391)** as noted below. A duplicate copy of this sheet is enclosed.
- ☒ Any overpayments or deficiencies in the above-calculated fee.
- ☐ Filing fee in the amount of \$_____ as calculated above.
- ☒ Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.
- ☒ In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

CORRESPONDENCE ADDRESS:

December 20, 2000 By: William W. Schwanz, Reg. No. 25,918
(Date) for **LESLIE L. KASTEN, JR.**

Registration No. 28,959
AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.
One Commerce Square
2005 Market Street - Suite 2200
Philadelphia, PA 19103
Telephone: 215-965-1200
Direct Dial: 215-965-1290
Facsimile: 215-965-1210
E-Mail: lkasten@akingump.com

☒ Customer Number or Bar Code Label: **000570**

LLK:jf
Enclosures

UNITED STATES PATENT AND TRADEMARK OFFICE
DOCUMENT CLASSIFICATION BARCODE SHEET



Preliminary Amendments

3

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:	Patent Application of Toshiharu KOSHINO et al.	: Group Art Unit: : :
Appln. No.:	Not Yet Assigned	: Examiner: :
Filed:	Herewith	: : Attorney Docket
For:	DATA RECORDING APPARATUS AND DATA RECORDING METHOD	: No. 8861-391US : (P23442-01)

PRELIMINARY AMENDMENT

Simultaneously with the filing of the above-identified application with which this Preliminary Amendment is being filed, and prior to the calculation of the filing fee, Applicant hereby amends the application as follows, without prejudice:

In the Claims:

In claim 8, line 2, delete "4 or 6".

REMARKS

Claims 1 to 15 are pending in the application.

The purpose of this amendment is delete the multiple dependent claim in this application, and thereby eliminate excessive claim fees. Such amendments are formal in nature and no new matter is added by any of the above amendments. Entry of this amendment and early examination of this application are respectfully solicited.

Respectfully submitted,
TOSHIHARU KOSHINO, ET AL.

December 20, 2000
(Date)

By: W. H. Schwarz Reg No 25,918
for

LESLIE L. KASTEN, JR.

Registration No. 28,959

AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.

One Commerce Square

2005 Market Street - Suite 2200

Philadelphia, PA 19103-7086

Telephone: (215) 965-1200

Direct Dial: (215) 965-1290

Facsimile: (215) 965-1210

E-Mail: &@@akingump.com

&@:~@
Enclosure